

1 other words, a shorter duration of high-frequency
2 oscillation may be better as long as you can
3 tolerate it, so to speak, before coming back to the
4 accepted therapy of your colleagues. That was one
5 question. Do you have any information on that?

6 DR. STEWART: One thing I know of, and
7 again I am not a basic scientist, but I did see a
8 paper that I know is in press currently, in the
9 Journal of Applied Physiology, where they looked at
10 conventional versus high-frequency oscillation and
11 showed that although you could achieve with really
12 aggressive conventional ventilation, similar
13 oxygenation profile, physiologic benefit with CO₂
14 and oxygenation, that the markers of lung
15 inflammation in terms of cytokines was worse with
16 the conventional group.

17 So, some people argue you can do
18 everything you can do with conventional that you
19 can do with the oscillator, but however, there may
20 be inflammatory markers that you are actually
21 causing with conventional ventilation that you
22 don't get perhaps with high-frequency oscillation.

23 But in terms of adult experience--and Alex
24 may know more about this than I do--I haven't seen
25 anyone measuring markers or doing pathology that we

1 don't see at the bedside.

2 DR. DERDAK: In the animal models, there
3 have been studies in whole animal models using the
4 same mean airway pressure, high frequency versus
5 conventional, that have looked at, for example,
6 lavage levels of tumor necrosis factor, of
7 platelet-activating factor, of IL-8 macrophage
8 activation markers, which have suggested that in
9 the whole animal model, that high frequency has
10 less of an inflammatory effect, at least with those
11 primers I just mentioned.

12 We just presented an abstract at ATS this
13 past meeting, and also presented it at the
14 Snowbird, doing exactly what you just suggested, I
15 am surprised you asked that question. We are
16 growing human lung fibroblasts on membranes and
17 subjecting them to stretch at 0.5 hertz simulating
18 30 breaths a minute or conventional ventilation
19 versus 5 hertz at 300 breaths a minute, and
20 analyzing a number of parameters on these lung
21 fibroblasts, such as apoptosis, intracellular
22 extra-structural damage, and looking at the
23 supernatants for cytokines like IL-6 and TGF beta.

24 We had some preliminary data that we
25 presented at the ATS on the IL-6 data showing that

1 the IL-6 was higher in 0.5 hertz versus the 5 hertz
2 stretch, but was different if you look at neonatal
3 lung fibroblasts versus adult lung fibroblasts,
4 have seen difference in effects between where the
5 fibroblasts came from.

6 The reason we chose fibroblasts is because
7 we have just had somebody in our laboratory growing
8 them, and they are very nice for those studies
9 because they are adherent to the membrane. It is
10 difficult to look at nonadherent cells and subject
11 them to stress.

12 I think that is an interesting line of
13 research, though, because you could ask the
14 question, given that you could, for example, create
15 a similar blood gas with two different kinds of
16 settings on the oscillator, is there one that might
17 have a biological benefit as opposed to just the
18 blood gas benefit, and getting at the hertz
19 question versus the delta P, for example. It is
20 assuming that both might give you a similar blood
21 gas, would one be better than the other for
22 biologic injury.

23 We are trying to do that in vitro. I
24 think ultimately, those things will have to be done
25 in the whole lung model, because so many things

1 affect the processes, as you know.

2 DR. MUELLER: Very good. The second
3 concern I had relates to your condensate trap that
4 you have within your system. Obviously, that is
5 not affecting just the air flow and distribution of
6 humidity or, if you prefer, aerosols that might be
7 produced in the distal lung, but also more
8 proximate.

9 Do you have any data on the, let's say,
10 reverse infection capability between your
11 condensate trap and the patient? In theory at
12 least, a simple mind might say, well, your patient
13 may be generating, let's say, bacteria from an
14 acute insult of some sort, which then gets trapped
15 down into the collector. Then, the patient gets
16 treated with antibiotics, which cure his infection,
17 but your collector, unless it is changed frequently
18 enough, might be the source of reinfection.

19 Do you have any information on reverse
20 contamination or any data in terms of the frequency
21 of changing that trap, that might be helpful to
22 assuage your concerns about reverse contamination?

23 DR. DERDAK: That is a good question. I
24 don't know of data looking at nosocomial pneumonia
25 rates, for example, or ventilator-associated

1 pneumonia rates in the patients that have been
2 treated with high frequency. Perhaps there is some
3 in the pediatric data.

4 DR. PROUGH: Speak into the microphone,
5 please.

6 DR. DERDAK: I am not aware of data on
7 ventilator-associated pneumonia rates during high
8 frequency, which I think partly this gets at,
9 whether there is a higher potential for
10 contamination of the airway from the design of the
11 circuit or specifically the collection trap that is
12 beneath the diaphragm, which periodically is
13 emptied.

14 It is a gravity trap, which is beneath the
15 plastic one of the diaphragm, which it is well
16 below the ventilator, so in my view, it is almost
17 impossible to have that fluid, you know, you have
18 to literally disconnect it and hang it up to have
19 it then contaminate the circuit as opposed to
20 conventional ventilator circuits with traps. When
21 you manipulate the circuit, oftentimes if you are
22 not careful, you can spill a bottle of water into a
23 circuit.

24 But I don't know the answer to that
25 question. Do you know of any evidence on pneumonia

1 rates or circuit contamination, whether it would
2 be--I don't have theoretic reasons to suspect it
3 would be higher than on conventional ventilation
4 because of the location of the trap and its fixture
5 to the ventilator. I would think it would have
6 less potential than mobile traps that are not
7 fixed.

8 DR. MUELLER: Sudden increases in the bias
9 flow, for instance, if you did have some valve pop
10 off or the dump valve, it might change pressure and
11 get periodic, you know, if there is some water
12 halfway down the little tube draining into the
13 thing, it might pop back up and get aerosolized in
14 the process.

15 MR. STENZLER: In fact, the location of
16 the water trap being below the diaphragm, the tube
17 going to that is 1/8th ID, 1/8th inch ID, so it is
18 a very small diameter tubing, high-resistant tube.
19 The gas actually enters the circuit proximal to the
20 patient. That is actually on the back side of
21 where the gas enters. So, if a valve popped, gas
22 would be going to the patient, not through that.
23 It wouldn't suck any gas out of that, any fluid out
24 of that, and the rate at which water accumulates,
25 condensate accumulates is set at a high enough rate

1 that that has to be emptied every few hours, so the
2 likelihood of any materials staying in there for
3 any prolonged period of time is also unlikely.

4 DR. HUDSON: Following up with that, is
5 there any evidence that you need to change the
6 circuit any more frequently than a regular
7 conventional ventilator?

8 MR. STENZLER: No.

9 DR. MUELLER: Not by your directive as far
10 as changing it in your file material. The last
11 thing you mentioned, that there were no changes in
12 the instructions to users, and yet in one of the
13 supplements that was requested by the Secretary,
14 there was a discussion about letting down the cuff
15 if you can't get the CO₂ down, and so forth, but I
16 couldn't find that in at least what was included in
17 the material we got as far as how to lower your
18 CO₂.

19 It went through the changes in airway
20 pressure and driver force and slowing the
21 frequency, and so forth, but I didn't see letting
22 down the cuff or a discussion of that, and yet it
23 seems to be important in the pediatric patient.

24 MR. STENZLER: It believe it is Chapter 8,
25 at least in the revised edition, I believe it is in

1 there.

2 DR. MUELLER: I missed it in mine anyway.

3 DR. PROUGH: Dr. Roizen.

4 DR. ROIZEN: I have two questions that
5 relate to my conversations with pediatricians. One
6 was that they said this is, if you will, an
7 imperfect art in pediatrics and neonatal, and there
8 is a great deal of clinician art in it, so one of
9 the ways they judge adequacy of ventilation is that
10 you vibrate the hips, and not the toes, and while
11 that is clinical art, it doesn't make it into
12 either the teaching module or any of the chapters
13 that I saw.

14 I wondered, if there clinical art on the
15 adult side, like that, that should be in the
16 version, and I guess the corollary question is, is
17 there any value to having pediatric or case studies
18 in the teaching module rather than just adults as
19 it looks like are proposed.

20 DR. DERDAK: That issue of the initial
21 setting of the delta P to titrate just vibration or
22 to the thigh is just that. It is simply an initial
23 setting.

24 Another art, rule of thumb that we
25 observed in the rescue study, and even in this

1 study when we go back and look at our data, is that
2 another way to do that is to roughly set the delta
3 P at 20 plus the patient's PCO_2 , as a rule of thumb
4 number as to which to set the delta P.

5 I think it is quite subjective to decide
6 is the middle of the thigh shaking or is it just
7 down a little bit past the mid-thigh, or how much
8 wiggle we have. Again, that is just an initial
9 setting for the first 10 or 15 minutes to then get
10 a blood gas, because you are ultimately going to be
11 adjusting the delta P subsequent to that based on
12 your PCO_2 , so it is not something that you continue
13 to titrate so much as you would based on a PCO_2 , so
14 it is an initial setting.

15 Again, outside of protocols, we use a
16 general rule of thumb as 20 cm plus the PCO_2 . That
17 is probably not in the Manual, but we will be
18 putting that into review type articles.

19 DR. STEWART: If you think about it, it is
20 very similar to what I currently do with
21 conventional now, and when we intubate a patient,
22 we guesstimate rate and tidal volume, and we ask
23 for a blood gas relatively fast.

24 Ours is like Steve's experience, we don't
25 use that same number, but we look at the wiggle.

1 The question comes in, with different body types,
2 people will wiggle at different rates. So far our
3 rescue experience has been terrific in terms of
4 CO₂, and we haven't had CO₂'s getting out of control
5 in that time window, so we have had time to adjust.

6 The reason to sort of standardize or get a
7 feel for how much the patient is wiggling at the
8 bedside, I guess is where the art comes in, is when
9 they do run into problems when they are paralyzed,
10 if they do, like a right main stem bronchus
11 intubation or dislodged endotracheal tube or a
12 plugged endotracheal tube or pneumothorax, is that
13 you are aware of how much they are wiggling before,
14 and look for changes in that wiggle factor, we call
15 it.

16 DR. ROIZEN: The second question is there
17 was not much dealing with humidity problems in the
18 study. Were they nonexistent or were they just
19 equal between the two groups? So, it is
20 inspissation, or was a minor part, but I didn't say
21 a very extensive discussion on that, which I guess
22 is a problem or at least in the pediatric
23 population.

24 MR. STENZLER: The humidity problem for
25 high-frequency ventilation in the past was tied to

1 jet ventilators, which used zero humidity gas
2 ejected out of a needle, a very low humidity.

3 With the oscillator, all of the gas all
4 goes through a standard humidifier, because it is
5 only traveling up to 60 liters per minute,
6 relatively slow compared to what we oscillate it
7 at. Then, that humidified gas goes into the
8 circuit where the driver actually accelerates the
9 full humidified gas.

10 Humidification is typically not a problem
11 if people use the humidifiers correctly, and that
12 is always one of the issues, because some of the
13 manufacturers suggest that the humidifiers be used
14 with different temperature settings than you would
15 use for high frequency. We have recommendations
16 for temperature settings for a conventional
17 humidifier.

18 DR. STEWART: We were really worried when
19 we first got into it part time--tracheobronchitis,
20 and we took to doing bronchoscopy on all of our
21 early patients, and we stopped because it wasn't an
22 issue. In fact, I find it, compared to my
23 experience with the jet previously, a lot better in
24 terms of humidification.

25 Back to your question about the art, I

1 think there was art with the jet, to be honest. It
2 is simple to manage. If you have any trouble with
3 oxygenation, you adjust your mean airway pressure,
4 when you are having trouble with ventilation, it is
5 your hertz and your delta P. It may be a lot less
6 of an art, it is quite easy to use.

7 DR. PROUGH: Dr. Hudson.

8 DR. HUDSON: My questions that I wanted to
9 understand better was the training aspect, and it
10 is not the content of the training, but who gets
11 trained and what is the commitment to that?
12 Obviously, that is going to be partly up to the
13 center that you are selling the ventilators to, and
14 the practice varies so much from center to center
15 as to who gets to use the ventilator, so who gets
16 trained right now?

17 You had mentioned docs, and I am assuming
18 then that it would either be the medical director
19 of Respiratory Care or someone instrumental in
20 Critical Care, but also I assume the respiratory
21 therapist.

22 Could you tell us more about that?

23 MR. STENZLER: The programs that we have,
24 as I said, we basically run two programs for
25 training. We run a very formal two-day program

1 that is not at the hospital. It is typically at
2 one of our training facilities where the physician
3 and/or therapist come to be trained, and have an
4 animal laboratory.

5 We have also done for many facilities on-
6 site training programs including animal
7 laboratories where a hospital has enough people,
8 and they say we would like to do it on site, so we
9 can get a large group of physicians and our
10 therapists trained.

11 Above and beyond that, we do send out
12 clinical people to every hospital to train the
13 respiratory therapists in the general management
14 principles, care and maintenance of the machine,
15 how to manage the patients, but those don't always
16 include animal facilities with physiologic models
17 because of the limitations at the facilities
18 themselves, but basically, every center does get
19 trained by qualified people, and usually, all of
20 the therapists that are managing patients.

21 DR. HUDSON: I guess the other question I
22 have about that, maybe, Mike, you can answer this,
23 this is my first time on this sort of panel, what
24 is the commitment, then, for training those people
25 if this gets approved? How does that continue on,

1 and does that have to be a condition, or is that
2 understood from the application?

3 DR. BAZARAL: As far as I understand it,
4 the material that they have submitted now, for
5 example, would be a commitment that the company has
6 made pre-approval, if approval is what happens, and
7 that commitment would be conceivably audited, but
8 at least certainly expected of the company, and any
9 changes to that may depend on your recommendations,
10 either as conditions or simply recommendations.

11 DR. HUDSON: So, your current commitment
12 is to train every place that you sell machines to.

13 MR. STENZLER: Well, let me qualify that.
14 Our commitment is train every place that we sell
15 machines to that are willing to purchase the
16 training. We don't pay for flying people into
17 other facilities to a training center for training.
18 Like with any other device, you can buy educational
19 services. The on-site training is done at no cost,
20 and that we always do, and we have done always in
21 the past.

22 DR. HUDSON: The other isn't a question,
23 it is something that we may discuss further, and it
24 is a dilemma and as you commented, the primary
25 endpoint wasn't forced on the company, it was

1 something the company and investigators and the FDA
2 came up with together, but I find the primary
3 endpoint inappropriate, and not very compelling to
4 evaluate clinical safety and efficacy, but some of
5 the other data more compelling, particularly the
6 six-month endpoint, and which could include any
7 respiratory support just because of the nature of
8 the disease and what we know about the history, and
9 also then, the harder, secondary endpoints, which
10 are mortality and the complication rate, so I think
11 that is something we have to discuss as a panel
12 more.

13 DR. PROUGH: Do any of the panel members
14 have any other questions or comments? Dr.
15 Schroeder.

16 DR. SCHROEDER: Yes. I noticed that when
17 you listed the studies, other studies, trials that
18 you have currently going on was one with nitric
19 oxide. Do you have any data or information on
20 other tracheal-administered drugs, specifically,
21 delivery of nebulized or aerosolized drugs with the
22 system?

23 MR. STENZLER: We don't presently have
24 specific data on that. The corporation does have
25 development programs on new nebulizing technology,

1 capable of delivering drugs endotracheally, but
2 that is under development, not just for high
3 frequency, but we do have a program.

4 DR. SCHROEDER: So, for the patients that
5 were in your trial, you never had to administer
6 beta agonists or anything, or you didn't do it, or
7 you did it and hoped it worked?

8 MR. STENZLER: I don't believe that there
9 were beta agonists delivered to any of the patients
10 during the trial.

11 DR. PROUGH: Dr. DeMets.

12 DR. DERDAK: Asthma and COPD are
13 definitely listed exclusions.

14 DR. SCHROEDER: I noticed those were
15 exclusions, but there are other patients than those
16 specific diagnoses that sometimes require a beta
17 agonist.

18 DR. PROUGH: Dr. DeMets.

19 DR. DeMETS: If we are going to focus on
20 some of the other outcomes, as has been suggested,
21 a technical point. You can't really just focus on--
22 -I will pick failure to wean as an example--because
23 there is censoring going on, informative censoring,
24 mortality.

25 So, if you are really going to focus on

1 it, the analysis we need is death plus failure to
2 wean, or death plus--I mean, you understand? There
3 is a censoring, and, in fact, it is all going in
4 the same direction, in a favorable direction. I am
5 not worried that our conclusions will be different,
6 but we ought to go through that exercise, or
7 somebody ought to go through that exercise.

8 DR. PROUGH: Dr. Kirton.

9 DR. KIRTON: I know there is
10 contraindications with asthma and COPD, and it is
11 obvious that the humidification concerns that
12 plague the jet ventilator seems to have been
13 resolved with the oscillator.

14 Are there any recommendations in regards
15 to the amount or copiousness of tracheal secretions
16 as part of the instructions to users?

17 DR. DERDAK: I might address that, and I
18 think it is at the training and the use of the
19 oscillator. Our recommendation, at least at our
20 study site, was when oscillation is initiated, that
21 if the patient is going to have bronchoscopy or
22 suctioning done, that should be done prior to
23 putting them on the oscillator, particular
24 bronchoscopy to verify patency of the tube to
25 obtain secretions, so that we don't have to then

1 derecruit the lung once they are on high frequency,
2 and that when we initially place them on the
3 oscillator, we attach the circuit direct in line
4 without a suction device to establish that we can
5 ventilate the patient well, and then put an in-line
6 suction adapter.

7 Again, as we learned with the additional
8 experience of treating more patients, these
9 patients do ventilate well, but the issue of how
10 often to suction, I think is also a question that
11 we don't have a clear answer to. Clearly, when you
12 suction and induce negative carinal pressure, you
13 run the risk of desaturation, of derecruitment of
14 lung, it is my bias or opinion that suctioning
15 ought to be minimized in these very sick patients
16 unless there is obvious gross secretions in the
17 airway, because you may do more harm than good by
18 doing that.

19 That is part of what I would consider part
20 of the clinician's training package on how to use
21 this device, and when would you do suction, how do
22 you recognize a tension pneumothorax if it occurs
23 on the oscillator, how to recognize a main stem
24 intubation or a mucus plugging of the endotracheal
25 tube, how do you know that it is occurring.

1 I think those are all vital parts of the
2 training that we should offer.

3 MR. STENZLER: I should point out that if
4 you remember the slide I put up of the eight
5 prospective, randomized, controlled trials of the
6 oscillator, only two of those trials were actually
7 used for the approval process through the FDA, and
8 SensorMedics continues to sponsor both randomized,
9 controlled trials, basic science research, and
10 technical trials or technical studies to optimize,
11 to determine and better understand how to use our
12 devices, so that the fact that you may grant us
13 approval to introduce the 3100B into clinical
14 practice, it is not the end of our research efforts
15 on this device.

16 In fact, the Courtney Duran trial, which
17 just concluded, is basically 10 years after our
18 approval for neonatal application, and that was co-
19 sponsored by SensorMedics with almost a quarter of
20 a million dollars of support 10 years after the
21 device was approved, just to get a better handle on
22 the application and use of the device.

23 So, I think you can be assured that we
24 will continue to try and have a better
25 understanding of the device and how to use it.

1 DR. PROUGH: If there are no further
2 comments, this might be a good time to take a 15-
3 minute break, come back at quarter of 3:00. When
4 we do come back, it will be the open public
5 hearing, and I would like to request that the
6 sponsors take the seats that are reserved for them
7 behind the table.

8 [Recess.]

9 **Open Public Hearing**

10 DR. PROUGH: Are there any members of the
11 public who would like to make a presentation or
12 have comments related to the device under review?

13 [No response.]

14 DR. PROUGH: If not, if no one from the
15 public would like to make any comments, then, the
16 open public hearing is now closed and we will
17 proceed to recommendations and voting.

18 Before the panel discussion for
19 recommendations and voting begins, I would like to
20 ask Mr. Stenzler if there are additional comments
21 or presentations that SensorMedics would like to
22 make.

23 MR. STENZLER: Not at this time. Thank
24 you.

25 **Recommendations and Voting**

1 DR. PROUGH: Thank you.

2 Let's move on then.

3 Mr. Noe, could you please show the first
4 question for the panel.

5 1. In light of current practice, please
6 discuss whether the control group in the
7 SensorMedics trial alone is appropriate and
8 reasonable for evaluation of the Model 3100B High-
9 Frequency Oscillatory Ventilator.

10 The floor is open for discussion. I think
11 we need we move this toward yes or no.

12 DR. HUDSON: I think I discussed my
13 opinion before. I think that it is actually. I
14 think that the patient selection was appropriate,
15 and I think that the standard of the conventional
16 management was appropriate for the time of the
17 study and actually up until just recently. So, I
18 would say yes.

19 DR. SESSLER: I agree. In fact, I think
20 it still represents practice, perhaps not the
21 standard that we would all like to have quite yet,
22 but I think it does represent broad practice
23 currently, as well.

24 DR. PROUGH: Is there any disagreement
25 with that answer to Question 1?

1 [No response.]

2 DR. PROUGH: In that case, may we have the
3 second question.

4 2. Please discuss whether the information
5 presented provides reasonable assurance that the
6 Model 3100B is safe and effective.

7 I think this is essentially the
8 recommendation that we will be asked to make about
9 approval, so I will defer this question as part of
10 the discussion and voting regarding recommendations
11 about approval.

12 Why don't we move to the third question.

13 3. Please comment on the labeling
14 provided for the Model 3100B. Specifically, please
15 discuss:

16 a. whether Chapter 8 of the Operator's
17 Manual, which instructs the user on treatment
18 strategy, adequately reflects the protocol and data
19 from the SensorMedics trial;

20 b. whether the two-day training program
21 described will adequately prepare physicians to use
22 the Model 3100B; and

23 c. whether any other specific changes
24 should be made to the labeling of the device.

25 The floor is open for discussion.

1 DR. SCHROEDER: I guess I have just one
2 comment.

3 DR. PROUGH: Go ahead.

4 DR. SCHROEDER: The issue about the
5 labeling of the device, I guess I would bring up
6 again my reservation about the delivery of
7 tracheally-administered drugs, that there should be
8 some mention in the labeling about that, that we do
9 not have data, we don't know if they are adequately
10 delivered, and should be used with caution or
11 something along those lines.

12 DR. PROUGH: Why don't we take the three
13 parts of this question really in order. We have
14 one comment about labeling. Are there any other
15 issues about labeling?

16 DR. SCHROEDER: I am sorry. I have just
17 one other comment. Patients with asthma and COPD
18 were excluded from this. I guess I would put that
19 out. I didn't see any comment about those,
20 reservation of use of this device in those patients
21 in the labeling. I may have missed it. But should
22 there be something listed that we do not know
23 whether or not this is safe and effective in that
24 subset of patients?

25 DR. PROUGH: Does anyone recall if that

1 specifically was or was not mentioned?

2 DR. ROIZEN: I did not see it mentioned.

3 DR. SCHROEDER: Whether patients with
4 asthma or COPD were excluded from the study, and I
5 did not see anything in the labeling saying that we
6 don't know if this is safe and effective in that
7 subset of patients.

8 DR. PROUGH: I think that all that is
9 emphasized is acute respiratory failure and acute
10 respiratory distress syndrome.

11 DR. ROIZEN: I guess the corollary which
12 goes along with your question on that, earlier is on
13 drug, that it isn't known whether drugs that are
14 aerosolized are available for delivery yet. I
15 guess that should be under that first dash of that
16 question.

17 DR. PROUGH: Could you repeat that, Mike?
18 I am sorry. I heard you. I am not sure I quite
19 understood.

20 DR. ROIZEN: We don't know whether the
21 company answered to Becky's question. At least I
22 took it to mean that they did not know whether
23 aerosolized drugs would be able to be used with
24 this system yet, so that should be placed under
25 Chapter 8 of the Operator's Manual, if you will, in

1 that part of the question, because it just isn't
2 known yet.

3 DR. PROUGH: In general, are there any
4 other comments about whether Chapter 8 of the
5 Operator's Manual adequately reflects the protocol
6 and data from the SensorMedics' trial? Are we
7 comfortable with Chapter 8 as revised?

8 [No response.]

9 DR. PROUGH: If there aren't any comments,
10 let's move on to the two-day training program. Do
11 we consider that adequate? Any concerns about its
12 adequacy?

13 DR. ROIZEN: They seem to have used it for
14 the pediatric, and it seems, at least in my
15 conversations with people who practice neonatal and
16 pediatric ICU, it seems to be an effective training
17 session.

18 DR. PROUGH: Any other comments about
19 that? Any other comments about labeling?

20 [No response.]

21 DR. PROUGH: Let's move on to the fourth
22 question.

23 4. Please discuss whether additional
24 clinical follow-up of postmarket studies are
25 necessary for the Model 3100B.

1 DR. KIRTON: I would say yes, but it
2 appears from the response from the company that
3 they will continue to support ongoing studies,
4 which will address a lot of the art and user issues
5 evolving around this technology.

6 DR. DeMETS: How about a quick comment?

7 DR. PROUGH: Yes.

8 DR. DeMETS: I guess my comment is related
9 somewhat to my point of view on Question 2, but I
10 think that we have established, let's just say,
11 probably safe and probably not inferior, but I
12 don't think we know anything about clinically
13 effective or clinically beneficial.

14 I mean the criteria, even the most
15 generous interpretation, we have missed on every
16 one of them, so I don't think we can say we have
17 device that we know is clinically superior. So,
18 there is room for some further studies to further
19 evaluate that question.

20 DR. ROIZEN: What I understood was the
21 least burdensome rule or interpretation, that there
22 may be likes that we have, or desires, but those
23 are not from what I gather to be part of the
24 postmarketing studies unless they are really
25 required for approval, is that correct?

1 DR. ZUCKERMAN: Yes. This is Bram
2 Zuckerman from FDA. In terms of where we are right
3 now in our postmarket studies surveillance program,
4 we need to view it and direct it within the context
5 of the least burdensome provisions, so that if
6 there is a recommendation from the panel for some
7 type of postmarket study, the question would need
8 to be focused and really well thought out, et
9 cetera.

10 I think in answering Dr. DeMets' concerns,
11 when the discussion does go to what is necessary
12 for voting on safe and effective, the reasonable
13 assurance of safety and effectiveness does not
14 necessarily include superiority, and you will need
15 to again review our regulatory definitions and
16 think about things in the device law context.

17 DR. PROUGH: Any other comments about the
18 fourth question?

19 DR. GARMAN: Yes. I don't think there is
20 anything here to warrant requiring additional
21 research. I think additional research will be in
22 the "nice to know" category. That is my position.

23 DR. PROUGH: Any other comments?

24 [No response.]

25 DR. PROUGH: Mr. Noe, except for the

1 deferred question about safety and effectiveness,
2 does the panel need to provide additional
3 discussion of the FDA questions?

4 MR. NOE: I think that is sufficient,
5 thank you.

6 DR. PROUGH: Thank you.

7 Mr. Stenzler, do we need to look at
8 anything else?

9 MR. STENZLER: I don't believe so. I
10 believe that we have presented everything that the
11 panel would need to evaluate. Thank you for your
12 attention.

13 DR. PROUGH: Thank you.

14 We will now proceed to the formal
15 recommendation about approval.

16 Dr. Bazaral, will you please read the
17 definitions and voting instructions to the panel.

18 DR. BAZARAL: I will read the Panel
19 Recommendation Options for Premarket Approval
20 Applications.

21 The Medical Device Amendments to the
22 Federal Food, Drug, and Cosmetic Act, as amended by
23 the Safe Medical Devices Act of 1990, allows the
24 Food and Drug Administration to obtain a
25 recommendation from an expert advisory panel on

1 designated medical device premarket approval
2 applications, PMAs, that are filed with the Agency.

3 The PMA must stand on its own merits, and
4 your recommendation must be supported by safety and
5 effectiveness data in the application or by
6 applicable publicly available information.

7 Safety is defined in the Act as reasonable
8 assurance based on valid scientific evidence that
9 the probable benefits to health under the
10 conditions of intended use outweigh any probable
11 risks.

12 Effectiveness is defined as reasonable
13 assurance that in a significant portion of the
14 population, the use of the device for its intended
15 uses, and conditions of use when labeled, will
16 provide clinically significant results.

17 Your recommendation options for the vote
18 are as follows:

19 1. Approval if there are no conditions
20 attached.

21 2. Approvable with conditions. A panel
22 may recommend that the PMA be found approvable
23 subject to specified conditions, such as physician
24 or patient education, labeling changes, or further
25 analysis of existing data. Prior to voting, all of

1 the conditions should be discussed by the panel.

2 3. Not approvable. The panel may
3 recommend that the PMA is not approvable if the
4 data do not provide a reasonable assurance that the
5 device is safe, or if a reasonable assurance has
6 not been given that the device is effective under
7 the conditions of use prescribed, recommended, or
8 suggested in the proposed labeling.

9 Following the voting, the Chair will ask
10 each panel member to present a brief statement
11 outlining the reasons for their vote.

12 DR. PROUGH: You have received the
13 instructions. Do we have a motion? Are you about
14 to make a motion?

15 DR. ROIZEN: Yes, I am. Not knowing this
16 process as well as perhaps one would like, but I
17 think I would make a motion that it be approvable
18 with conditions.

19 DR. PROUGH: We have a motion that it be
20 approvable with conditions.

21 Is there a second?

22 DR. SCHROEDER: I will second.

23 DR. PROUGH: In that case we now need to
24 discuss conditions.

25 What conditions would the panel like to

1 add to the motion?

2 DR. ROIZEN: We only add one condition at
3 a time, is that correct?

4 DR. PROUGH: One condition at a time,
5 which needs to be separately voted on, and then
6 ultimately, we get around to the final, the
7 original motion.

8 DR. ROIZEN: The first condition I would
9 like to discuss is changes in the labeling or
10 additions to the labeling that include the asthma
11 and COPD and drug administration processes.

12 PARTICIPANT: Second.

13 DR. PROUGH: The condition has been moved
14 and seconded.

15 Dr. Zuckerman, is the condition
16 appropriate from your perspective?

17 DR. ZUCKERMAN: Yes, it is the prerogative
18 of the panel to make recommendations, and certainly
19 we will work on these recommendations in-house.

20 DR. PROUGH: It has been moved and
21 seconded. Is there any further discussion?

22 [No response.]

23 DR. PROUGH: Do we need to vote on every
24 condition?

25 DR. ZUCKERMAN: Yes, at this time, and at

1 least enumerate the votes.

2 DR. PROUGH: So, the first condition why
3 don't we begin with Dr. Hudson, and if you have
4 specific comments about your vote, please state
5 them.

6 DR. HUDSON: Yes.

7 DR. PROUGH: Dr. Roizen.

8 DR. ROIZEN: Yes.

9 DR. PROUGH: Dr. Mueller.

10 DR. MUELLER: Yes.

11 DR. PROUGH: Dr. Sessler.

12 DR. SESSLER: Yes.

13 DR. PROUGH: Dr. Kirton.

14 DR. KIRTON: Yes.

15 DR. PROUGH: Dr. Schroeder.

16 DR. SCHROEDER: Yes.

17 DR. PROUGH: Dr. DeMets.

18 DR. DeMETS: Yes.

19 DR. PROUGH: The vote then is unanimous
20 that that condition be added.

21 DR. HUDSON: Let me make sure I
22 understand. It was to mention that we don't know
23 about it.

24 DR. ROIZEN: Correct.

25 DR. PROUGH: I guess it is really 7 yes.

1 Is there another condition?

2 DR. ROIZEN: The second condition was that
3 the data be analyzed with supplemental O₂ via
4 cannula extracted from the primary endpoint. I
5 think that is the basic condition. In other word,
6 as I understand, Mike, and maybe you can correct
7 me, that you can put conditions relating to
8 analysis of existing data.

9 DR. BAZARAL: I presume that would just go
10 on the labeling because I don't know what else we
11 would do with it.

12 DR. PROUGH: There is a motion.

13 Is there a second?

14 DR. SCHROEDER: I am sorry, I don't mean
15 to be obtuse, but I am not quite sure I understand.

16 DR. ROIZEN: The data we don't have, at
17 least as I looked at it, to approve efficacy, to
18 make sure that we hit Dr. DeMets' and perhaps all
19 of our concerns in this non-inferiority
20 understanding is that the endpoint not cross a zero
21 when you analyze it that way, but most of us were,
22 at least I thought, uncomfortable with O₂ by
23 cannula being in that hard endpoint area of
24 requiring mechanical ventilation, death, or CPAP.

25 DR. SCHROEDER: I just think we need to be

1 a little more specific about how we state it.

2 DR. ROIZEN: I wanted to be, but I didn't
3 know how we do this.

4 DR. HUDSON: I don't know. It hasn't been
5 seconded, so I don't know if it is appropriate. It
6 seems to me that we already have the data. We
7 heard the number of patients on mechanical
8 ventilation, and I think we just have to decide on
9 that when we decide on the question of safety and
10 efficacy.

11 DR. ROIZEN: I didn't hear that data. Did
12 they give it to us?

13 DR. HUDSON: What they had was when you
14 take the mortality rates and then the overall
15 support data, there were 41 patients on high-
16 frequency oscillation that had some sort of
17 respiratory support, and 62 percent I think of
18 those were on mechanical ventilation, and there
19 were 21 patients on conventional ventilation, and
20 73 percent of those, the support was mechanical
21 ventilation, so subtracting those out, you would
22 know the number that was on oxygen.

23 What we didn't hear--

24 DR. ROIZEN: What we didn't have is the
25 statistical analysis of that.

1 DR. HUDSON: We don't have a statistical
2 analysis, but you have a general breakdown.

3 I guess I would think we have to just take
4 that into account in deciding where we put those
5 data in terms of answering the question of efficacy
6 and safety.

7 DR. PROUGH: We actually I don't believe
8 had a second on the motion unless I missed it. Was
9 there a second on the motion? Is there a second on
10 the motion?

11 [No response.]

12 DR. PROUGH: I think the motion dies for
13 want of a second.

14 Are there any other conditions?

15 DR. DeMETS: I don't know how to express
16 this in this motion, but I think we need to
17 distinguish between establishing superiority from
18 non-inferiority. The question isn't posed that
19 way, but that was the hypothesis that was studied,
20 and I don't know how to frame it in the conditions,
21 but by the end of the day, I think that needs to be
22 specific what we have shown and what we haven't
23 shown.

24 Maybe that is just an internal in-house
25 discussion.

1 DR. BAZARAL: That might be a discussion
2 of the main motion, approvable or not approvable
3 with or without those conditions.

4 DR. PROUGH: Would you like to withdraw
5 that as a condition then or would you like to
6 discuss it more at this point?

7 DR. DeMETS: If we can discuss it with the
8 whole motion, that is fine, so take two out I
9 guess.

10 DR. PROUGH: Are there any other
11 conditions?

12 [No response.]

13 DR. PROUGH: Well, that being the case, we
14 have a motion and a second on the original motion.
15 We now have one condition. Is there any discussion
16 of the motion with the condition listed as No. 1 up
17 on the screen?

18 [No response.]

19 DR. PROUGH: If not, do you want to
20 elaborate on your point a little bit?

21 DR. DeMETS: Sure. My point was that the
22 issue of safety and effective, effective in this
23 case sort of has two pieces to it, I believe, is it
24 as effective as conventional therapy by the
25 definition set forth in the protocol, and formally,

1 the answer to that is no, they didn't make it, but
2 we all have discussed that we don't personally
3 believe that the primary endpoint was as relevant
4 as we think, and, in fact, it met that on four out
5 of five of the other outcomes, but nowhere did it
6 achieve superiority.

7 So, when you say effective, that's a fuzzy
8 word unless you distinguish between non-inferiority
9 and superiority.

10 DR. BAZARAL: I can read the definition
11 again if that would help.

12 DR. PROUGH: Please do.

13 Effectiveness is defined in the Act as
14 reasonable assurance that in a significant portion
15 of the population, the use of the device for its
16 intended uses, and conditions of use when labeled,
17 will provide clinically significant results.

18 DR. SCHROEDER: That just means it says it
19 will do what it says it is going to do. It doesn't
20 mean it is better than anything else. It just
21 means it says it will do it, it doesn't mean it is
22 better than things currently in existence.

23 DR. BAZARAL: It can't just do what it
24 says it is going to do, it has to provide
25 clinically significant results when it does what it

1 is intended to do.

2 DR. SCHROEDER: So, the manufacturer is
3 saying that it will successfully ventilate this
4 particular population of patients.

5 DR. BAZARAL: And we seek your advice as
6 to whether that is a clinically significant result
7 and whether it is a reasonable conclusion to reach.

8 DR. PROUGH: Dr. Zuckerman, did you have a
9 comment?

10 DR. ZUCKERMAN: Yes. I just want to state
11 two things. As noted in the definition, there is
12 no requirement for superiority, however, the notion
13 of clinically significant is a very important one.

14 DR. SESSLER: I did some simple math if it
15 helps, and it may not, but as far as bad outcomes,
16 if you combine death and mechanical ventilation and
17 exclude the nasal cannula, 61 percent on high
18 frequency and 66 percent on conventional,
19 addressing the question not statistically, but
20 addressing the question in general terms that you
21 raised in terms of excluding the very soft outcome
22 of nasal cannula 30 days.

23 So, it doesn't conclude certainly that it
24 doesn't cross the 0.1 barrier in terms of the
25 confidence intervals, but pretty likely that it

1 would not, I suppose.

2 DR. HUDSON: It seems to me that
3 superiority is a higher standard that you would be
4 asking for a stronger indication on the labeling
5 and that clinically significant here means it
6 should be at least as good as conventional in
7 survival to me, and not have any concerns about
8 additional complications, so at least as safe in
9 terms of side effects or complications.

10 DR. DeMETS: The only point I am trying to
11 make is I personally would vote that we would--
12 well, if I follow the protocol and the formality,
13 we didn't make the primary outcome, but I would be
14 perfectly willing to shift to the others and say we
15 have met the goal of not inferior, but I don't
16 think we have shown superiority, and I will stick
17 to that.

18 DR. HUDSON: I would agree with that.

19 DR. PROUGH: Dr. Mueller.

20 DR. MUELLER: Maybe I over-interpreted
21 what Dr. Bazaral read, but I thought it meant that
22 it was effective, in other words, it didn't say
23 effective compared to a control group or compared
24 to another therapy, but effective compared to no
25 therapy, and in that case, I think it would

1 qualify.

2 DR. PROUGH: Is there any further
3 discussion of the motion with the attached
4 condition?

5 DR. SCHROEDER: I guess I would only have
6 one other comment to make, which is I think a
7 significant amount of whether or not it is
8 effective or superior or whatever is going to come
9 down to large amounts of clinical use, large groups
10 of patients in multiple different settings to be
11 able to see is this highly superior in this
12 particular subset of patients in this particular
13 setting.

14 I don't think there is any way we can make
15 that generalization from this relatively small
16 study. I guess my own personal opinion is that we
17 have shown that it is safe, it is no worse than
18 what people are currently doing, and I think it
19 deserves a chance to show that it might be better
20 in certain instances.

21 DR. PROUGH: Dr. Mueller.

22 DR. MUELLER: I think that gets back at
23 something I was going to bring up before when you
24 were asking for conditions, and I hated to mention,
25 because I think reviewing the different studies

1 that were included for our review, both the
2 reprints and what we have from our own clinical
3 experience in other studies, one of the things that
4 the field I think really lacks is some sort of
5 longitudinal appreciation of how therapy is
6 changing.

7 For instance, when we put a heart valve in
8 a patient now, we are forced to fill out a little
9 form and register them, and so forth, so that, in
10 fact, if you have a retract that valve, you can
11 come and notify the patient.

12 Wouldn't that be a wonderful thing to do
13 with people who get this wonderful new ventilator.

14 DR. ROIZEN: They actually have it
15 planned. They have got it in here, it has got a
16 registry.

17 DR. MUELLER: I am sorry, I missed it, but
18 I think it would be nice, for instance, if, when
19 the patient is enrolled, that they use the same
20 criteria that they used to enroll them in the
21 study, and then, for a better choice, at one and
22 six months or at five years, whenever, go back to
23 those patients, try to go back to the patients and
24 see what their outcome is.

25 DR. ROIZEN: It is a voluntary registry,

1 isn't it, in Toronto.

2 DR. MUELLER: If that is already in there,
3 I apologize for wasting your time.

4 DR. PROUGH: Just to be sure that I
5 understand, you are not proposing that as a
6 condition?

7 DR. MUELLER: Well, I think it is what the
8 field needs, I don't know. You come back to your
9 minimum, you know, least burdensome event, is it
10 fair to burden this company with it when no other
11 company is burdened with it, but we know that there
12 is a paucity of data, and as one of my mentors once
13 said, you can do all the research you want, if you
14 do clinical research, don't ever study anybody in
15 the ICU.

16 I think that the only way you are ever
17 going to get any data, crude and ugly as it may be,
18 is if you simply have a list when a new device
19 comes out or a new intervention, and try to get
20 some handle on what the numbers are.

21 You are not comparing it to anything
22 except itself, but if you find out that, in fact,
23 the utilization tallies off and disappears after
24 five years, well, then it is probably not very
25 helpful for whatever reasons, and then you can go

1 after what those might be, but if you keep
2 absolutely no track as to how the population is
3 doing or what is appropriate in the specialty,
4 then, I think you are only saddling yourself with
5 delaying getting that knowledge and those hints at
6 how to intervene with the next study, delaying
7 those studies until you need them at a later date.

8 DR. PROUGH: Is the panel ready to vote?

9 In that case, why don't we proceed to vote
10 on the motion of approval with the stipulated
11 condition. Why don't we start with Dr. Hudson.

12 DR. HUDSON: I vote for approval. Do you
13 want to have us give the statements now?

14 DR. PROUGH: If you have the statement you
15 would like to make, that is fine.

16 DR. BAZARAL: Let me add that just because
17 of the formality, and I apologize for that, we
18 should be clear that if you are voting for
19 approvable with this condition, then, that would be
20 what you would have to say explicitly.

21 DR. HUDSON: I vote for approval with the
22 condition and the labeling change.

23 DR. PROUGH: Dr. Roizen.

24 DR. ROIZEN: I vote for approval with the
25 condition.

1 DR. PROUGH: Dr. Mueller.

2 DR. MUELLER: Approval with that
3 condition.

4 DR. PROUGH: Dr. Sessler.

5 DR. SESSLER: I vote for approvable with
6 condition.

7 DR. PROUGH: Dr. DeMets.

8 DR. DeMETS: Vote in favor.

9 DR. PROUGH: Dr. Kirton.

10 DR. KIRTON: I vote for approval with the
11 labeling condition.

12 DR. PROUGH: Dr. Schroeder.

13 DR. SCHROEDER: I vote for approval with
14 the one condition.

15 DR. PROUGH: The results as I heard it are
16 7 in favor and none opposed.

17 DR. PROUGH: Would folks want to provide
18 their rationale?

19 DR. HUDSON: My rationale is that I think
20 it was shown to be safe in this study and that
21 there were no more complications than conventional
22 ventilation, and in terms of efficacy, particularly
23 in the way that Dr. DeMets has phrased this or
24 couched it, certainly non-inferiority, that the
25 outcome was at least as good as conventional in

1 terms of mortality with a trend towards survival
2 benefit.

3 The third is that I think it also carries
4 a conceptual or theoretic basis for possible
5 additional benefit in the way you could apply the
6 practical, the open lung theory of mechanical
7 ventilation for acute lung injury patients. That
8 remains a theory, and that this is a benefit in
9 applying it is still hypothetical, but at least it
10 has a conceptual reason why it might have an
11 additional benefit, and I think that is important,
12 as well.

13 DR. PROUGH: Dr. Roizen.

14 DR. ROIZEN: I felt the demonstration of
15 safety was clear. I felt the demonstration of
16 efficacy was not clear, but tended towards enough
17 approvability that I voted for it, and the
18 unclarity was that the primary endpoint chosen in
19 1996, or whenever it was chosen, I thought was an
20 unfortunate choice, but the secondary endpoints did
21 show non-inferiority, and based on that and the
22 likelihood that the other analysis that the panel I
23 think really wanted, the one without the cannula,
24 would also indicate non-inferiority, led me to vote
25 in favor of this.

1 DR. PROUGH: Dr. Mueller.

2 DR. MUELLER: Yes, I voted for it because
3 I feel that it is no worse than the options
4 currently available with ventilatory devices, and I
5 believe it will be a significant advantage.

6 DR. PROUGH: Dr. Sessler.

7 DR. SESSLER: I think it is going to be
8 quite useful for our patients. First of all, I
9 think the rationale is very solid. The safety
10 record in the clinical trial was very solid. When
11 I took what I consider to be useful endpoints for
12 efficacy, granted, unfortunately, that was not the
13 primary endpoint, but I think clearly, there was
14 not only equivalence, but a substantial trend
15 towards superiority. So, to me it was quite
16 convincing.

17 DR. PROUGH: Dr. DeMets.

18 DR. DeMETS: I think I have already stated
19 in some ways my views, but I think that the trial
20 was well conducted, met all those criteria you want
21 to have for a trial in this situation in terms of
22 quality.

23 Two, as I said, the primary endpoint
24 perhaps wasn't the best choice, however, I would
25 make one point, is if you are going to choose

1 endpoints like that, I think you can do some things
2 to try to minimize the bias. I am not sure that
3 was done here, but you can have third parties
4 review the data or something like that.

5 But fortunately, the other endpoints do
6 meet the criteria although it is a little bit post
7 hoc. I think those criteria met, so I voted in
8 favor of it because I thought it had shown within
9 reason to be not inferior, consistent with other
10 data, and suggestive of a benefit.

11 DR. PROUGH: Dr. Kirton.

12 DR. KIRTON: I voted yes. I thought the
13 clinical trial was well conducted. I thought they
14 proved that it was safe, and I also believe these
15 are tests of non-inferiority compared to other
16 modalities available, and I believe also this will
17 be quite useful for patients with severe lung
18 injury.

19 DR. PROUGH: Dr. Schroeder.

20 DR. SCHROEDER: I voted for approval. I
21 feel that we have seen that it is safe. I think I
22 echo everyone else's concerns that the true
23 effectiveness of it needs to be defined. I would
24 really encourage the company to go ahead and with
25 some of the more complex situations found in adults

1 with multiple different etiologies for their lung
2 disease, to look at patients in a variety of
3 settings with a variety of problems, since that is
4 information that clinicians are going to really
5 need to know in order to use this device safely.

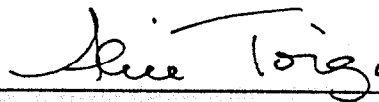
6 DR. PROUGH: I believe that that concludes
7 the business of the panel. I would like to thank
8 the panelists for their participation and all the
9 folks who made presentations for their
10 presentations.

11 The meeting is adjourned.

12 [Whereupon, at 3:30 p.m., the meeting
13 adjourned.]

C E R T I F I C A T E

I, ALICE TOIGO, the Official Court Reporter for Miller Reporting Company, Inc., hereby certify that I recorded the foregoing proceedings; that the proceedings have been reduced to typewriting by me, or under my direction and that the foregoing transcript is a correct and accurate record of the proceedings to the best of my knowledge, ability and belief.

A handwritten signature in cursive script, reading "Alice Toigo", is written over a horizontal line.

ALICE TOIGO